

Manager Ownership of Morningstar 500 Funds

Revised June 24, 2008

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Aegis Value Fund	AVALX	Scott L. Barbee	100,001	500,000
Allianz RCM Technology Fund	DRGTX	Walter C. Price, Jr.	> 1 million	
Allianz RCM Technology Fund	DRGTX	Huachen Chen	> 1 million	
American Beacon International Equity	AAIEX	William Quinn	> 1 million	
American Beacon International Equity	AAIEX	Kirk Brown	100,001	500,000
American Beacon International Equity	AAIEX	Wyatt Crumpler	100,001	500,000
American Beacon Large Cap Value Fund	AADEX	William Quinn	> 1 million	
American Beacon Large Cap Value Fund	AADEX	Adriana Posada	100,001	500,000
American Beacon Large Cap Value Fund	AADEX	Wyatt Crumpler	100,001	500,000
American Beacon Small Cap Value Fund	AVFIX	William Quinn	500,001	1 million
American Beacon Small Cap Value Fund	AVFIX	Adriana Posada	50,001	100,000
American Beacon Small Cap Value Fund	AVFIX	Wyatt Crumpler	10,001	50,000
American Century Capital Value Fund	ACTIX	Charles A. Ritter	100,001	500,000
American Century Capital Value Fund	ACTIX	Brendan Healy	100,001	500,000
American Century Equity Income Fund	TWEIX	Phillip Davidson	500,001	1 million
American Century Equity Income Fund	TWEIX	Scott A. Moore	10,001	50,000
American Century Equity Income Fund	TWEIX	Kevin Toney	100,001	500,000
American Century Ginnie Mae Fund	BGNMX	Robert V. Gahagan	0	
American Century Ginnie Mae Fund	BGNMX	Alejandro Aguilar	1	10,000
American Century Ginnie Mae Fund	BGNMX	Dan Shiffman	1	10,000
American Century Global Gold Fund	BGEIX	William Martin	10,001	50,000
American Century Global Gold Fund	BGEIX	Joseph B. Sterling	10,001	50,000
American Century Global Growth Fund	TWGGX	Keith Creveling	100,001	500,000
American Century Global Growth Fund	TWGGX	Helen O'Donnell	100,001	500,000
American Century Global Growth Fund	TWGGX	Brent Puff	50,001	100,000
American Century Growth Fund	TWCGX	Gregory Woodhams	500,001	1 million
American Century Growth Fund	TWCGX	Prescott LeGard	500,001	1 million
American Century Income and Growth Fund	BIGRX	John Schniedwind	100,001	500,000
American Century Income and Growth Fund	BIGRX	Kurt Borgwardt	500,001	1 million
American Century Income and Growth Fund	BIGRX	Zili Zhang	100,001	500,000
American Century International Discovery	TWEGX	Mark S. Kopinski	> 1 million	
American Century International Discovery	TWEGX	Brian Brady	100,001	500,000
American Century International Growth Fu	TWIEX	Alexander Tedder	10,001	50,000
American Century International Growth Fu	TWIEX	Rajesh Gandhi	0	
American Century Small Cap Value Fund	ASVIX	Benjamin Z. Giele	100,001	500,000
American Century Small Cap Value Fund	ASVIX	James Pitman	100,001	500,000
American Century Tax Free Bond Fund	TWTIX	Steven Permut	0	
American Century Tax Free Bond Fund	TWTIX	Alan Kruss	0	
American Century Ultra Fund	TWCUX	Tom Telford	100,001	500,000
American Century Ultra Fund	TWCUX	Steve Lurito	50,001	100,000
American Century Value Fund	TWWLX	Phillip Davidson	10,001	50,000
American Century Value Fund	TWWLX	Scott A. Moore	100,001	500,000
American Century Value Fund	TWWLX	Michael Liss	100,001	500,000
American Century Vista Fund	TWCVX	Glenn A. Fogle	> 1 million	
American Century Vista Fund	TWCVX	Bradley Eixmann	50,001	100,000
American Funds AMCAP Fund	AMCPX	Timothy D. Armour	> 1 million	
American Funds AMCAP Fund	AMCPX	R. Michael Shanahan	> 1 million	
American Funds AMCAP Fund	AMCPX	Claudia P. Huntington	> 1 million	
American Funds AMCAP Fund	AMCPX	C. Ross Sappenfield	100,001	500,000
American Funds American Balanced Fund	ABALX	James R. Mulally	> 1 million	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
American Funds American Balanced Fund	ABALX	Robert G. O'Donnell	> 1 million	
American Funds American Balanced Fund	ABALX	Dina N. Perry	100,001	500,000
American Funds American Balanced Fund	ABALX	John H. Smet	100,001	500,000
American Funds American Balanced Fund	ABALX	Alan N. Berro	100,001	500,000
American Funds American Balanced Fund	ABALX	Hilda L. Applbaum	100,001	500,000
American Funds American Balanced Fund	ABALX	Gregory D. Johnson	100,001	500,000
American Funds American High-Income Trust	AHITX	David C. Barclay	> 1 million	
American Funds American High-Income Trust	AHITX	Abner D. Goldstine	500,001	1 million
American Funds American High-Income Trust	AHITX	Susan M. Tolson	500,001	1 million
American Funds American High-Income Trust	AHITX	David Daigle	100,001	500,000
American Funds American High-Income Trust	AHITX	Marcus Linden	100,001	500,000
American Funds American Mutual Fund	AMRMX	James B. Lovelace	100,001	500,000
American Funds American Mutual Fund	AMRMX	R. Michael Shanahan	100,001	500,000
American Funds American Mutual Fund	AMRMX	Joyce E. Gordon	> 1 million	
American Funds American Mutual Fund	AMRMX	James Dunton	> 1 million	
American Funds Capital Income Builder Fund	CAIBX	Timothy D. Armour	500,001	1 million
American Funds Capital Income Builder Fund	CAIBX	James B. Lovelace	> 1 million	
American Funds Capital Income Builder Fund	CAIBX	David A. Hoag	> 1 million	
American Funds Capital Income Builder Fund	CAIBX	Mark R. MacDonald	> 1 million	
American Funds Capital Income Builder Fund	CAIBX	Joyce E. Gordon	> 1 million	
American Funds Capital Income Builder Fund	CAIBX	Darcy Kopcho	> 1 million	
American Funds Capital Income Builder Fund	CAIBX	Michael Cohen	0	
American Funds Capital Income Builder Fund	CAIBX	David Riley	> 1 million	
American Funds Capital World Bond Fund	CWBFX	Mark H. Dalzell	> 1 million	
American Funds Capital World Bond Fund	CWBFX	James R. Mulally	> 1 million	
American Funds Capital World Bond Fund	CWBFX	Susan M. Tolson	50,001	100,000
American Funds Capital World Bond Fund	CWBFX	Thomas H. Hogh	50,001	100,000
American Funds Capital World Bond Fund	CWBFX	Robert H. Neithart	> 1 million	
American Funds Capital World Growth and	CWGIX	Stephen E. Bepler	> 1 million	
American Funds Capital World Growth and	CWGIX	Mark E. Denning	0	
American Funds Capital World Growth and	CWGIX	Alwyn Heong	> 1 million	
American Funds Capital World Growth and	CWGIX	Timothy P. Dunn	500,001	1 million
American Funds Capital World Growth and	CWGIX	Darcy Kopcho	> 1 million	
American Funds Capital World Growth and	CWGIX	Sung Lee	100,001	500,000
American Funds Capital World Growth and	CWGIX	Jeanne K. Carroll	100,001	500,000
American Funds Capital World Growth and	CWGIX	Michael Cohen	0	
American Funds Capital World Growth and	CWGIX	Jesper Lyckeus	0	
American Funds Europacific Growth Fund	AEPGX	Stephen E. Bepler	500,001	1 million
American Funds Europacific Growth Fund	AEPGX	Mark E. Denning	0	
American Funds Europacific Growth Fund	AEPGX	Robert W. Lovelace	> 1 million	
American Funds Europacific Growth Fund	AEPGX	Carl M. Kawaja	500,001	1 million
American Funds Europacific Growth Fund	AEPGX	Alwyn Heong	> 1 million	
American Funds Europacific Growth Fund	AEPGX	Timothy P. Dunn	100,001	500,000
American Funds Europacific Growth Fund	AEPGX	Nick Grace	50,001	100,000
American Funds Europacific Growth Fund	AEPGX	Sung Lee	100,001	500,000
American Funds Fundamental Investors Fund	ANCFX	James E. Drasdo	> 1 million	
American Funds Fundamental Investors Fund	ANCFX	Dina N. Perry	> 1 million	
American Funds Fundamental Investors Fund	ANCFX	Michael T. Kerr	> 1 million	
American Funds Fundamental Investors Fund	ANCFX	Ronald Morrow	100,001	500,000
American Funds Fundamental Investors Fund	ANCFX	Brady Enright	100,001	500,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
American Funds Growth Fund of America Fund	AGTHX	Gordon Crawford	> 1 million	
American Funds Growth Fund of America Fund	AGTHX	James E. Drasdo	> 1 million	
American Funds Growth Fund of America Fund	AGTHX	Gregg E. Ireland	> 1 million	
American Funds Growth Fund of America Fund	AGTHX	Don D. O'Neal	> 1 million	
American Funds Growth Fund of America Fund	AGTHX	James F. Rothenberg	> 1 million	
American Funds Growth Fund of America Fund	AGTHX	R. Michael Shanahan	100,001	500,000
American Funds Growth Fund of America Fund	AGTHX	Donnalisa Barnum	> 1 million	
American Funds Growth Fund of America Fund	AGTHX	J. Blair Frank	500,001	1 million
American Funds Growth Fund of America Fund	AGTHX	Michael T. Kerr	500,001	1 million
American Funds Growth Fund of America Fund	AGTHX	Timothy P. Dunn	100,001	500,000
American Funds Growth Fund of America Fund	AGTHX	Ronald Morrow	> 1 million	
American Funds Income Fund of America Fund	AMECX	David C. Barclay	> 1 million	
American Funds Income Fund of America Fund	AMECX	Abner D. Goldstine	500,001	1 million
American Funds Income Fund of America Fund	AMECX	Dina N. Perry	100,001	500,000
American Funds Income Fund of America Fund	AMECX	John H. Smet	50,001	100,000
American Funds Income Fund of America Fund	AMECX	Hilda L. Applbaum	100,001	500,000
American Funds Income Fund of America Fund	AMECX	Andrew Suzman	> 1 million	
American Funds Income Fund of America Fund	AMECX	Stephen Watson	50,001	100,000
American Funds Income Fund of America Fund	AMECX	Jonas Jonsson	100,001	500,000
American Funds Income Fund of America Fund	AMECX	Grant Cambridge	50,001	100,000
American Funds Investment Company of AME	AIVSX	James B. Lovelace	100,001	500,000
American Funds Investment Company of AME	AIVSX	Don D. O'Neal	500,001	1 million
American Funds Investment Company of AME	AIVSX	R. Michael Shanahan	> 1 million	
American Funds Investment Company of AME	AIVSX	C. Ross Sappenfield	100,001	500,000
American Funds Investment Company of AME	AIVSX	Joyce E. Gordon	> 1 million	
American Funds Investment Company of AME	AIVSX	Darcy Kopcho	100,001	500,000
American Funds New Economy Fund	ANEFX	Timothy D. Armour	> 1 million	
American Funds New Economy Fund	ANEFX	Gordon Crawford	> 1 million	
American Funds New Economy Fund	ANEFX	Mark E. Denning	0	
American Funds New Economy Fund	ANEFX	Claudia P. Huntington	100,001	500,000
American Funds New Economy Fund	ANEFX	HAROLD LA	50,001	100,000
American Funds New Perspective Fund	ANWPX	Gregg E. Ireland	> 1 million	
American Funds New Perspective Fund	ANWPX	Dina N. Perry	> 1 million	
American Funds New Perspective Fund	ANWPX	Robert W. Lovelace	> 1 million	
American Funds New Perspective Fund	ANWPX	Carl M. Kawaja	100,001	500,000
American Funds New Perspective Fund	ANWPX	Steven T. Watson	100,001	500,000
American Funds New Perspective Fund	ANWPX	Brady Enright	100,001	500,000
American Funds New Perspective Fund	ANWPX	Jon Jonsson	500,001	1 million
American Funds New World Fund	NEWFX	David C. Barclay	> 1 million	
American Funds New World Fund	NEWFX	Mark E. Denning	100,001	500,000
American Funds New World Fund	NEWFX	Robert W. Lovelace	> 1 million	
American Funds New World Fund	NEWFX	Carl M. Kawaja	> 1 million	
American Funds New World Fund	NEWFX	Alwyn Heong	> 1 million	
American Funds Small Cap World Fund	SMCWX	Gordon Crawford	> 1 million	
American Funds Small Cap World Fund	SMCWX	Mark E. Denning	1	10,000
American Funds Small Cap World Fund	SMCWX	Claudia P. Huntington	> 1 million	
American Funds Small Cap World Fund	SMCWX	J. Blair Frank	100,001	500,000
American Funds Small Cap World Fund	SMCWX	Jonathan Knowles	100,001	500,000
American Funds Small Cap World Fund	SMCWX	Brady Enright	100,001	500,000
American Funds Small Cap World Fund	SMCWX	Noriko Chen	10,001	50,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
American Funds Small Cap World Fund	SMCWX	Terrance Guire	100,001	500,000
American Funds Tax Exempt Bond Fund	AFTEX	Neil L. Langberg	100,001	500,000
American Funds Tax Exempt Bond Fund	AFTEX	Brenda S. Ellerin	100,001	500,000
American Funds Tax Exempt Bond Fund	AFTEX	Karl Zeile	100,001	500,000
American Funds Washington Mutual Fund	AWSHX	Stephen E. Bepler	500,001	1 million
American Funds Washington Mutual Fund	AWSHX	Robert G. O'Donnell	100,001	500,000
American Funds Washington Mutual Fund	AWSHX	James F. Rothenberg	> 1 million	
American Funds Washington Mutual Fund	AWSHX	Alan N. Berro	> 1 million	
American Funds Washington Mutual Fund	AWSHX	Gregory D. Johnson	100,001	500,000
American Funds Washington Mutual Fund	AWSHX	James Dunton	> 1 million	
Ameristock Mutual Fund	AMSTX	Nicholas Gerber	100,001	500,000
Ameristock Mutual Fund	AMSTX	Andrew Ngim	100,001	500,000
Ariel Appreciation Fund	CAAPX	John Rogers Jr.	> 1 million	
Ariel Appreciation Fund	CAAPX	Matthew Sauer	100,001	500,000
Ariel Fund	ARGFX	John Rogers Jr.	> 1 million	
Ariel Fund	ARGFX	John P. Miller	100,001	500,000
Artisan International Fund	ARTIX	Mark L. Yockey	> 1 million	
Artisan International Small Cap Fund	ARTJX	Mark L. Yockey	> 1 million	
Artisan International Value Fund	ARTKX	N. David Samra	> 1 million	
Artisan International Value Fund	ARTKX	Daniel O'Keefe	> 1 million	
Artisan Mid Cap Investment Fund	ARTMX	Andrew C. Stephens	> 1 million	
Artisan Mid Cap Investment Fund	ARTMX	James Hamel	> 1 million	
Artisan Mid Cap Investment Fund	ARTMX	Shayne John	1	10,000
Artisan Mid Cap Value Fund	ARTQX	Scott C. Satterwhite	100,001	500,000
Artisan Mid Cap Value Fund	ARTQX	James C. Kieffer	500,001	1 million
Artisan Mid Cap Value Fund	ARTQX	George Sertl, Jr.	100,001	500,000
Artisan Opportunistic Value Fd	ARTLX	Scott C. Satterwhite	500,001	1 million
Artisan Opportunistic Value Fd	ARTLX	James C. Kieffer	> 1 million	
Artisan Opportunistic Value Fd	ARTLX	George Sertl, Jr.	> 1 million	
Artisan Small Cap Fund	ARTSX	Marina T. Carlson	> 1 million	
Artisan Small Cap Fund	ARTSX	Craig A. Cepukenas	100,001	500,000
Artisan Small Cap Value Fund	ARTVX	Scott C. Satterwhite	100,001	500,000
Artisan Small Cap Value Fund	ARTVX	James C. Kieffer	500,001	1 million
Artisan Small Cap Value Fund	ARTVX	George Sertl, Jr.	100,001	500,000
Aston/Montag & Caldwell Growth Fund	MCGFX	Ronald Canakaris	> 1 million	
Aston/River Road Small Cap Value Fund	ARSVX	James C. Shircliff	100,001	500,000
Aston/River Road Small Cap Value Fund	ARSVX	Henry Sanders, III	500,001	1 million
Aston/River Road Small Cap Value Fund	ARSVX	R. Andrew Beck	0	
Aston/TAMRO Small Cap Fund	ATASX	Philip Tasho	100,001	500,000
Baird Aggregate Bond	BAGIX	Mary Ellen Stanek	> 1 million	
Baird Aggregate Bond	BAGIX	Daniel Tranchita	50,001	100,000
Baird Aggregate Bond	BAGIX	Gary A. Elfe	50,001	100,000
Baird Aggregate Bond	BAGIX	Warren D. Pierson	100,001	500,000
Baird Aggregate Bond	BAGIX	Sharon deGuzman	10,001	50,000
Baird Aggregate Bond	BAGIX	Charles B. Groeschell	100,001	500,000
Baron Asset Fund	BARAX	Andrew Peck	> 1 million	
Baron Fifth Avenue Growth Fund	BFTHX	Randall Haase	> 1 million	
Baron Growth Fund	BGRFX	Ronald Baron	> 1 million	
Baron Partners Fund	BPTRX	Ronald Baron	> 1 million	
Baron Small Cap Fund	BSCFX	Clifford Greenberg	> 1 million	

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			From	To
Barry Bjurman Micro Cap Growth Fund	BMCFX	O. Thomas Barry III	500,001	1 million
Barry Bjurman Micro Cap Growth Fund	BMCFX	G. Andrew Bjurman	500,001	1 million
Barry Bjurman Micro Cap Growth Fund	BMCFX	Stephen Shipman	0	
Barry Bjurman Micro Cap Growth Fund	BMCFX	Roberto Wu	1	10,000
Barry Bjurman Micro Cap Growth Fund	BMCFX	Patrick Bradford	0	
BlackRock Global Allocation Fund	MBLOX	Dennis W. Stattman	> 1 million	
BlackRock Global Allocation Fund	MBLOX	Romualdo Roldan	0	
BlackRock Global Allocation Fund	MBLOX	James A. MacMillan	0	
BlackRock Global Allocation Fund	MBLOX	Dan Chamby	50,001	100,000
BlackRock Global Resources Portfolio	SSGRX	Daniel J. Rice, III	0	
BlackRock Global Resources Portfolio	SSGRX	Denis Walsh, III	0	
Blair William International Growth Fund	WBIGX	W. George Greig	100,001	500,000
Blair William Small Cap Growth Fund	WBSIX	Karl Brewer	100,001	500,000
Blair William Small Cap Growth Fund	WBSIX	Colin Williams	100,001	500,000
Bogle Small Cap Growth Fund	BOGIX	John C. Bogle Jr.	> 1 million	
Brandywine Blue Fund	BLUEX	William F. D'Alonzo	> 1 million	
Brandywine Blue Fund	BLUEX	John Ragard	500,001	1 million
Brandywine Blue Fund	BLUEX	Jonathan Fenn	50,001	100,000
Brandywine Fund	BRWIX	William F. D'Alonzo	> 1 million	
Brandywine Fund	BRWIX	John Ragard	0	
Brandywine Fund	BRWIX	Jonathan Fenn	10,001	50,000
Bridgeway Aggressive Investors 2 Fund	BRAIX	John Montgomery	100,001	500,000
Bridgeway Aggressive Investors 2 Fund	BRAIX	Elena Khoziaeva	10,001	50,000
Bridgeway Aggressive Investors 2 Fund	BRAIX	Michael Whipple	0	
Bridgeway Aggressive Investors 2 Fund	BRAIX	Rasool Shaik	0	
Bridgeway Balanced Fund	BRBPX	Richard P. Cancelmo Jr.	100,001	500,000
Bridgeway Blue Chip 35 Index Fund	BRLIX	John Montgomery	10,001	50,000
Bridgeway Blue Chip 35 Index Fund	BRLIX	Elena Khoziaeva	0	
Bridgeway Blue Chip 35 Index Fund	BRLIX	Michael Whipple	0	
Bridgeway Blue Chip 35 Index Fund	BRLIX	Rasool Shaik	0	
Bridgeway Large Cap Growth Fund	BRLGX	John Montgomery	10,001	50,000
Bridgeway Large Cap Growth Fund	BRLGX	Elena Khoziaeva	0	
Bridgeway Large Cap Growth Fund	BRLGX	Michael Whipple	0	
Bridgeway Large Cap Growth Fund	BRLGX	Rasool Shaik	0	
Bridgeway Small Cap Growth Fund	BRSGX	John Montgomery	10,001	50,000
Bridgeway Small Cap Growth Fund	BRSGX	Elena Khoziaeva	10,001	50,000
Bridgeway Small Cap Growth Fund	BRSGX	Michael Whipple	0	
Bridgeway Small Cap Growth Fund	BRSGX	Rasool Shaik	NA	
Bridgeway Small Cap Value Fund	BRSVX	John Montgomery	10,001	50,000
Bridgeway Small Cap Value Fund	BRSVX	Elena Khoziaeva	1	10,000
Bridgeway Small Cap Value Fund	BRSVX	Michael Whipple	0	
Bridgeway Small Cap Value Fund	BRSVX	Rasool Shaik	0	
Bridgeway Ultra-Small Company Market	BRSIX	John Montgomery	10,001	50,000
Bridgeway Ultra-Small Company Market	BRSIX	Elena Khoziaeva	0	
Bridgeway Ultra-Small Company Market	BRSIX	Michael Whipple	0	
Bridgeway Ultra-Small Company Market	BRSIX	Rasool Shaik	1	10,000
Brown Capital Management Small Company F	BCSIX	Eddie C. Brown	> 1 million	
Brown Capital Management Small Company F	BCSIX	Robert E. Hall	> 1 million	
Brown Capital Management Small Company F	BCSIX	Keith A. Lee	500,001	1 million
Brown Capital Management Small Company F	BCSIX	Amy Zhang	50,001	100,000

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			From	To
Brown Capital Management Small Company F	BCSIX	Kempton Ingersol	100,001	500,000
Buffalo Micro Cap Fund	BUFOX	Kent Gasaway	100,001	500,000
Buffalo Micro Cap Fund	BUFOX	Grant P. Sarris	100,001	500,000
Buffalo Micro Cap Fund	BUFOX	Bob Male	50,001	100,000
Buffalo Mid Cap Fund	BUFMX	Kent Gasaway	100,001	500,000
Buffalo Mid Cap Fund	BUFMX	Grant P. Sarris	100,001	500,000
Buffalo Mid Cap Fund	BUFMX	Bob Male	100,001	500,000
Buffalo Small Cap Fund	BUFSX	Kent Gasaway	100,001	500,000
Buffalo Small Cap Fund	BUFSX	Grant P. Sarris	100,001	500,000
Buffalo Small Cap Fund	BUFSX	Bob Male	100,001	500,000
Calamos Convertible Fund	CCVIX	Nick P. Calamos	100,001	500,000
Calamos Convertible Fund	CCVIX	John P. Calamos, Jr	100,001	500,000
Calamos Convertible Fund	CCVIX	John Calamos, Sr.	> 1 million	
Calamos Convertible Fund	CCVIX	Steve Klouda	0	
Calamos Convertible Fund	CCVIX	Jeff Scudieri	0	
Calamos Convertible Fund	CCVIX	Jon Vacko	0	
Calamos Convertible Fund	CCVIX	John Hillenbrand	0	
Calamos Growth and Income Fund	CVTRX	Nick P. Calamos	> 1 million	
Calamos Growth and Income Fund	CVTRX	John P. Calamos, Jr	> 1 million	
Calamos Growth and Income Fund	CVTRX	John Calamos, Sr.	> 1 million	
Calamos Growth and Income Fund	CVTRX	Steve Klouda	100,001	500,000
Calamos Growth and Income Fund	CVTRX	Jeff Scudieri	100,001	500,000
Calamos Growth and Income Fund	CVTRX	Jon Vacko	100,001	500,000
Calamos Growth and Income Fund	CVTRX	John Hillenbrand	10,001	50,000
Calamos Growth Fund	CVGRX	Nick P. Calamos	> 1 million	
Calamos Growth Fund	CVGRX	John P. Calamos, Jr	> 1 million	
Calamos Growth Fund	CVGRX	John Calamos, Sr.	> 1 million	
Calamos Growth Fund	CVGRX	Steve Klouda	100,001	500,000
Calamos Growth Fund	CVGRX	Jeff Scudieri	100,001	500,000
Calamos Growth Fund	CVGRX	Jon Vacko	100,001	500,000
Calamos Growth Fund	CVGRX	John Hillenbrand	50,001	100,000
Calamos Market Neutral Income	CVSIX	John P. Calamos, Sr.	> 1 million	
Calamos Market Neutral Income	CVSIX	Nick P. Calamos	0	
Calamos Market Neutral Income	CVSIX	John P. Calamos, Jr	100,001	500,000
Causeway International Value Fund	CIVIX	Harry Hartford	> 1 million	
Causeway International Value Fund	CIVIX	Sarah H. Ketterer	> 1 million	
Causeway International Value Fund	CIVIX	James Doyle	100,001	500,000
Causeway International Value Fund	CIVIX	Jonathan Eng	100,001	500,000
Causeway International Value Fund	CIVIX	Kevin Durkin	1	10,000
Century Small Cap Select Trust Fund	CSMCX	Alexander L. Thorndike	> 1 million	
Century Small Cap Select Trust Fund	CSMCX	Kevin Callahan	100,001	500,000
CGM Focus Fund	CGMFX	G. Kenneth Heebner	500,001	1 million
Champlain Small Company Fund	CIPSX	Scott T. Brayman	50,001	100,000
Champlain Small Company Fund	CIPSX	Van Harissis	100,001	500,000
Champlain Small Company Fund	CIPSX	Deborah Healey	10,001	50,000
Champlain Small Company Fund	CIPSX	David O'Neal	50,001	100,000
Champlain Small Company Fund	CIPSX	Daniel Butler	10,001	50,000
Chase Growth Fund	CHASX	Derwood S. Chase, Jr.	> 1 million	
Chase Growth Fund	CHASX	David B. Scott	100,001	500,000
Chase Growth Fund	CHASX	Brian Lazorishak	1	10,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Chesapeake Core Growth Fund	CHCGX	John L. Lewis IV	0	
Chesapeake Core Growth Fund	CHCGX	W. Whitfield Gardner	0	
Clipper Fund	CFIMX	Christopher Davis	> 1 million	
Clipper Fund	CFIMX	Kenneth Feinberg	> 1 million	
Columbia Acorn Fund	ACRNX	Charles P. McQuaid	> 1 million	
Columbia Acorn Fund	ACRNX	Robert A. Mohn	100,001	500,000
Columbia Acorn International Fund	ACINX	Louis Mendes	500,001	1 million
Columbia Acorn International Fund	ACINX	P. Zachary Egan	500,001	1 million
Columbia Acorn Select Fund	ACTWX	Ben Andrews	> 1 million	
Columbia Acorn USA Fund	AUSAX	Robert A. Mohn	100,001	500,000
Columbia Value & Restructuring Fund	UMBIX	Timothy W. Evin	100,001	500,000
Columbia Value & Restructuring Fund	UMBIX	David J. Williams	500,001	1 million
Columbia Value & Restructuring Fund	UMBIX	John McDermott	100,001	500,000
Davis Appreciation & Income Fund	RPF CX	Andrew A. Davis	> 1 million	
Davis Appreciation & Income Fund	RPF CX	Keith J. Sabol	10,001	50,000
Davis Financial Fund	RPF GX	Kenneth Feinberg	> 1 million	
Davis Financial Fund	RPF GX	Charles Cavanaugh	100,001	500,000
Davis New York Venture Fund	NYVTX	Christopher Davis	> 1 million	
Davis New York Venture Fund	NYVTX	Kenneth Feinberg	> 1 million	
Delafield Fund	DEFIX	J. Dennis Delafield	> 1 million	
Delafield Fund	DEFIX	Vincent Sellecchia	> 1 million	
DFA U.S. Micro Cap Portfolio	DFSCX	Robert T. Deere	50,001	100,000
DFA U.S. Micro Cap Portfolio	DFSCX	Stephen Clark	10,001	50,000
Diamond Hill Small Cap Fund	DHSCX	Christopher A. Welch	50,001	100,000
Diamond Hill Small Cap Fund	DHSCX	Christopher Bingaman	1	10,000
Diamond Hill Small Cap Fund	DHSCX	Thomas Schindler	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	Dana Emery	> 1 million	
Dodge & Cox Balanced Fund	DODBX	John Gunn	> 1 million	
Dodge & Cox Balanced Fund	DODBX	Peter C. Lambert	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	Wendell Birkhofer	500,001	1 million
Dodge & Cox Balanced Fund	DODBX	Bryan C. Cameron	> 1 million	
Dodge & Cox Balanced Fund	DODBX	Charles F. Pohl	> 1 million	
Dodge & Cox Balanced Fund	DODBX	Kenneth Olivier	> 1 million	
Dodge & Cox Balanced Fund	DODBX	Gregory R. Serrurier	> 1 million	
Dodge & Cox Balanced Fund	DODBX	Thomas S. Dugan	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	Kent E. Radspinner	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	Larissa M. Roesch	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	Robert B. Thompson	500,001	1 million
Dodge & Cox Balanced Fund	DODBX	Diana Strandberg	> 1 million	
Dodge & Cox Balanced Fund	DODBX	David Hoeft	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	James Dignan	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	Steven Voorhis	100,001	500,000
Dodge & Cox Balanced Fund	DODBX	Anthony Brekke	100,001	500,000
Dodge & Cox Income Fund	DODIX	Dana Emery	> 1 million	
Dodge & Cox Income Fund	DODIX	Peter C. Lambert	100,001	500,000
Dodge & Cox Income Fund	DODIX	Charles F. Pohl	> 1 million	
Dodge & Cox Income Fund	DODIX	Thomas S. Dugan	100,001	500,000
Dodge & Cox Income Fund	DODIX	Kent E. Radspinner	100,001	500,000
Dodge & Cox Income Fund	DODIX	Larissa M. Roesch	100,001	500,000
Dodge & Cox Income Fund	DODIX	Robert B. Thompson	100,001	500,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Dodge & Cox Income Fund	DODIX	James Dignan	100,001	500,000
Dodge & Cox Income Fund	DODIX	Anthony Brekke	100,001	500,000
Dodge & Cox International Stock Fund	DODFX	John Gunn	> 1 million	
Dodge & Cox International Stock Fund	DODFX	Bryan C. Cameron	100,001	500,000
Dodge & Cox International Stock Fund	DODFX	Charles F. Pohl	> 1 million	
Dodge & Cox International Stock Fund	DODFX	Gregory R. Serrurier	> 1 million	
Dodge & Cox International Stock Fund	DODFX	Diana Strandberg	> 1 million	
Dodge & Cox International Stock Fund	DODFX	Yasha Gofman	> 1 million	
Dodge & Cox International Stock Fund	DODFX	Mario DiPrisco	100,001	500,000
Dodge & Cox International Stock Fund	DODFX	Roger Kuo	100,001	500,000
Dodge & Cox International Stock Fund	DODFX	Keiko Horkan	500,001	1 million
Dodge & Cox Stock Fund	DODGX	John Gunn	> 1 million	
Dodge & Cox Stock Fund	DODGX	Wendell Birkhofer	> 1 million	
Dodge & Cox Stock Fund	DODGX	Bryan C. Cameron	> 1 million	
Dodge & Cox Stock Fund	DODGX	Charles F. Pohl	> 1 million	
Dodge & Cox Stock Fund	DODGX	Kenneth Olivier	> 1 million	
Dodge & Cox Stock Fund	DODGX	Gregory R. Serrurier	> 1 million	
Dodge & Cox Stock Fund	DODGX	Diana Strandberg	> 1 million	
Dodge & Cox Stock Fund	DODGX	David Hoeft	100,001	500,000
Dodge & Cox Stock Fund	DODGX	Steven Voorhis	100,001	500,000
Domini Social Equity Fund	DSEFX	Mammen Chally	50,001	100,000
Dreyfus Appreciation Fund	DGAGX	Fayez Sarofim	> 1 million	
Eaton Vance Dividend Builder Fund	EVTMX	Judy Saryan	50,001	100,000
Eaton Vance Dividend Builder Fund	EVTMX	Charles Gaffney	0	
Eaton Vance Floating Rate Fund	EIBLX	Scott Page	100,001	500,000
Eaton Vance Floating Rate Fund	EIBLX	Craig Russ	0	
Eaton Vance Income Fund of Boston Fund	EVIBX	Michael W. Weilheimer	100,001	500,000
Eaton Vance Income Fund of Boston Fund	EVIBX	Thomas Huggins	50,001	100,000
Fairholme Fund	FAIRX	Bruce Berkowitz	> 1 million	
Fairholme Fund	FAIRX	Keith Trauner	> 1 million	
Fairholme Fund	FAIRX	Charles Fernandez	0	
Fairholme Fund	FAIRX	Paul Thomson	0	
FAM Value Fund	FAMVX	Thomas O. Putnam	> 1 million	
FAM Value Fund	FAMVX	John Fox	100,001	500,000
FBR Focus Fund	FBRVX	Charles T. Akre, Jr.	> 1 million	
Fidelity Advisor Floating Rate High Inc	FFRAX	Christine McConnell	10,001	50,000
Fidelity Advisor Inflation-Protected Bon	FINPX	William Irving	10,001	50,000
Fidelity Advisor Int Muni Income Fund	FLTMX	Mark Sommer	100,001	500,000
Fidelity Advisor International Discovery	FIGRX	William J. Kennedy	> 1 million	
Fidelity Advisor International Small Cap	FISMX	Tokuya Sano	0	
Fidelity Advisor International Small Cap	FISMX	Colin Stone	0	
Fidelity Advisor International Small Cap	FISMX	Wilson Wong	0	
Fidelity Advisor International Small Cap	FISMX	Bill Irving	0	
Fidelity Advisor Investment Grand Bond Fund	FBNDX	Jeffrey Moore	0	
Fidelity Advisor Mortgage Securities Fund	FMSFX	Bill Irving	10,001	50,000
Fidelity Advisor Short Interm Muni Inc A	FSTFX	Mark Sommer	0	
Fidelity Advisor Small Cap Fund	FSCDX	James M. Harmon	500,001	1 million
Fidelity Advisor Small Cap Independence	FDSCX	Richard Thompson	100,001	500,000
Fidelity Advisor Small Cap Value Fund	FCVAX	Thomas Hense	100,001	500,000
Fidelity Advisor Strategic Real Ret Fund	FSRAX	Derek Young	0	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Fidelity Advisor Total Bond Fund	FTBFX	Ford O'Neil	100,001	500,000
Fidelity Advisor Total Bond Fund	FTBFX	Matthew Conti	0	
Fidelity Asset Manager 50% Fund	FASMX	Dick Habermann	0	
Fidelity Asset Manager 50% Fund	FASMX	Derek Young	0	
Fidelity Asset Manager 70% Fund	FASGX	Dick Habermann	0	
Fidelity Asset Manager 70% Fund	FASGX	Derek Young	0	
Fidelity Balanced Fund	FBALX	George A. Fischer	50,001	100,000
Fidelity Balanced Fund	FBALX	Lawrence Rakers	> 1 million	
Fidelity Blue Chip Growth Fund	FBGRX	Jennifer S. Uhrig	500,001	1 million
Fidelity Capital and Income Fund	FAGIX	Mark Notkin	100,001	500,000
Fidelity Capital Appreciation Fund	FDCAX	J. Fergus Shiel	0	
Fidelity Contrafund Fund	FCNTX	William Danoff	> 1 million	
Fidelity Convertible Securities Fund	FCVSX	Thomas T. Soviero	50,001	100,000
Fidelity Diversified International Fund	FDIVX	William Bower	> 1 million	
Fidelity Dividend Growth Fund	FDGFX	Charles Mangum	> 1 million	
Fidelity Equity Income Fund	FEQIX	Stephen R. Petersen	> 1 million	
Fidelity Equity Income II Fund	FEQTX	C. Robert Chow	> 1 million	
Fidelity Export and Multinational Fund	FEXPX	Victor Y. Thay	> 1 million	
Fidelity Freedom 2010 Fund	FFFCX	Jonathan Shelon	0	
Fidelity Freedom 2010 Fund	FFFCX	Chris Sharpe	0	
Fidelity Freedom 2020 Fund	FFFDX	Jonathan Shelon	0	
Fidelity Freedom 2020 Fund	FFFDX	Chris Sharpe	0	
Fidelity Fund	FFIDX	John D. Avery	100,001	500,000
Fidelity Ginnie Mae Fund	FGMNX	William Irving	1	10,000
Fidelity Government Income Fund	FGOVX	William Irving	10,001	50,000
Fidelity Growth and Income Portfolio	FGRIX	Timothy J. Cohen	> 1 million	
Fidelity Growth Company Fund	FDGRX	Steven S. Wymer	> 1 million	
Fidelity Growth Discovery Fund	FDSVX	Jason Weiner	500,001	1 million
Fidelity High Income Fund	SPHIX	Fred Hoff	100,001	500,000
Fidelity Independence Fund	FDFFX	Robert C. Bertelson	100,001	500,000
Fidelity Intermediate Bond Fund	FTHRX	Ford O'Neil	10,001	50,000
Fidelity Intermediate Government Fund	FSTGX	Bill Irving	0	
Fidelity International Real Estate Fund	FIREX	Steven J. Buller	100,001	500,000
Fidelity Leveraged Company Stock Fund	FLVCX	Thomas T. Soviero	> 1 million	
Fidelity Low Priced Stock Fund	FLPSX	Joel C. Tillinghast	> 1 million	
Fidelity Magellan Fund	FMAGX	Harry Lange	> 1 million	
Fidelity Mid Cap Stock Fund	FMCSX	Shep Perkins	> 1 million	
Fidelity Mid Cap Value Fund	FSMVX	Bruce Dirks	50,001	100,000
Fidelity Municipal Income Fund	FHIGX	Christine Jones Thompson	0	
Fidelity New Markets Income Fund	FNMIX	John H. Carlson	100,001	500,000
Fidelity New Millennium Fund	FMILX	John D. Roth	> 1 million	
Fidelity Overseas Fund	FOSFX	Ian Hart	500,001	1 million
Fidelity Pacific Basin Fund	FPBFX	Dale Nicholls	0	
Fidelity Puritan Fund	FPURX	George A. Fischer	50,001	100,000
Fidelity Puritan Fund	FPURX	Ramin Arani	10,001	50,000
Fidelity Real Estate Investment Portfolio	FRESX	Steven J. Buller	500,001	1 million
Fidelity Select Electronics Portfolio	FSELX	Paul Jackson	10,001	50,000
Fidelity Select Electronics Portfolio	FSELX	Christopher Lee	10,001	50,000
Fidelity Select Energy Service Portfolio	FSESX	John Dowd	100,001	500,000
Fidelity Select Health Care Portfolio	FSPHX	Matthew Sabel	50,001	100,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Fidelity Select Technology Portfolio	FSPTX	Yun-Min (Charli) Chai	0	
Fidelity Small Cap Retirement Fund	FSCRX	Charles Myers	500,001	1 million
Fidelity Small Cap Stock Fund	FSLCX	Paul L. Antico	> 1 million	
Fidelity Spartan 500 Index Fund	FSMKX	Jeffrey Adams	10,001	50,000
Fidelity Spartan 500 Index Fund	FSMKX	Patrick Waddell	0	
Fidelity Spartan 500 Index Fund	FSMKX	Bobbe Simon	0	
Fidelity Spartan 500 Index Fund	FSMKX	Fergal Jackson	0	
Fidelity Spartan Extended Market Index F	FSEMX	Jeffrey Adams	10,001	50,000
Fidelity Spartan Extended Market Index F	FSEMX	Patrick Waddell	10,001	50,000
Fidelity Spartan Extended Market Index F	FSEMX	Bobbe Simon	10,001	50,000
Fidelity Spartan International Index Fun	FSIIX	Jeffrey Adams	10,001	50,000
Fidelity Spartan International Index Fun	FSIIX	Patrick Waddell	10,001	50,000
Fidelity Spartan International Index Fun	FSIIX	Bobbe Simon	10,001	50,000
Fidelity Spartan Total Market Index Fund	FSTMX	Jeffrey Adams	10,001	50,000
Fidelity Spartan Total Market Index Fund	FSTMX	Patrick Waddell	10,001	50,000
Fidelity Spartan Total Market Index Fund	FSTMX	Bobbe Simon	10,001	50,000
Fidelity Strategic Income Fund	FSICX	Derek Young	10,001	50,000
Fidelity Strategic Income Fund	FSICX	Joanna Bewick	10,001	50,000
Fidelity Tax Free Bond Fund	FTABX	Christine Jones Thompson	100,001	500,000
Fidelity U.S. Bond Index Portfolio	FBIDX	Ford O'Neil	0	
Fidelity Value Discovery Fund	FVDFX	Scott Offen	> 1 million	
Fidelity Value Fund	FDVLX	Richard B. Fentin	> 1 million	
Fidelity Worldwide Fund	FWWFX	Stephen DuFour	0	
Fidelity Worldwide Fund	FWWFX	William J. Kennedy	100,001	500,000
First Eagle Fund of America Fund	FEAFX	Harold J. Levy	> 1 million	
First Eagle Global Fund	SGENX	Jean-Marie Eveillard	> 1 million	
First Eagle Overseas Fund	SGOVX	Jean-Marie Eveillard	> 1 million	
First Source Monogram Income Equity Fund	FMIEX	Ralph Shive	1	10,000
FMI Large Cap Fund	FMIHX	Ted D. Kellner	> 1 million	
FMI Large Cap Fund	FMIHX	Patrick English	100,001	500,000
Forward International Small Companies Fu	PTSCX	Michael McLaughlin	0	
Forward International Small Companies Fu	PTSCX	Justin Hill	0	
Forward International Small Companies Fu	PTSCX	Bill Barker	0	
Forward International Small Companies Fu	PTSCX	Oliver Knobloch	0	
Forward International Small Companies Fu	PTSCX	Aylin Suntay	0	
Forward Small Cap Equity Fund	FFSCX	Irene Hoover	50,001	100,000
FPA Capital Fund	FPPTX	Robert L. Rodriguez	> 1 million	
FPA Crescent Portfolio	FPACX	Steven Romick	> 1 million	
FPA New Income Fund	FPNIX	Robert L. Rodriguez	> 1 million	
FPA New Income Fund	FPNIX	Thomas H. Atteberry	100,001	500,000
FPA Paramount Fund	FPRAX	Eric S. Ende	500,001	1 million
FPA Paramount Fund	FPRAX	Steven R. Geist	100,001	500,000
Franklin Balance Sheet Investment Fund	FRBSX	Bruce Baughman	100,001	500,000
Franklin Balance Sheet Investment Fund	FRBSX	William Lippman	100,001	500,000
Franklin Balance Sheet Investment Fund	FRBSX	Margaret McGee	100,001	500,000
Franklin Federal Tax Free Income Fund	FKTIX	Sheila Amoroso	0	
Franklin Federal Tax Free Income Fund	FKTIX	Carrie Higgins	0	
Franklin Federal Tax Free Income Fund	FKTIX	Francisco Rivera	0	
Franklin High Yield Tax Free Income Fund	FRHIX	John Wiley	1	10,000
Franklin High Yield Tax Free Income Fund	FRHIX	John Hopp	0	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Franklin Income Series Fund	FKINX	Charles B. Johnson	100,001	500,000
Franklin Income Series Fund	FKINX	Edward D. Perks	100,001	500,000
Gabelli Asset Fund	GABAX	Mario J. Gabelli	> 1 million	
Gateway Fund	GATEX	J. Patrick Rogers	> 1 million	
Gateway Fund	GATEX	Paul Stewart	500,001	1 million
Gateway Fund	GATEX	Michael Buckius	500,001	1 million
Greenspring Fund	GRSPX	Charles vK. Carlson	500,001	1 million
Harbor Bond Fund	HABDX	William H. Gross	0	
Harbor Capital Appreciation Fund	HACAX	Spiros Segalas	> 1 million	
Harbor International Fund	HAINX	Hakan Castegren	> 1 million	
Harbor International Growth Fund	HAIGX	James Gendelman	0	
Harbor Real Return Fund	HARRX	Mihir Worah	0	
Homestead Funds Value Fund	HOVLX	Stuart E. Teach	500,001	1 million
Homestead Funds Value Fund	HOVLX	Peter R. Morris	> 1 million	
Homestead Funds Value Fund	HOVLX	Mark Ashton	500,001	1 million
Hussman Strategic Growth Fund	HSGFX	John Hussman	> 1 million	
Janus Balanced Fund	JABAX	Marc Pinto	> 1 million	
Janus Balanced Fund	JABAX	Gibson Smith	100,001	500,000
Janus Contrarian Fund	JSVAX	David C. Decker	> 1 million	
Janus Enterprise Fund	JAENX	Brian Demain	> 1 million	
Janus Fund	JANSX	Jonathan Coleman	> 1 million	
Janus Fund	JANSX	Dan Riff	0	
Janus Global Life Sciences Fund	JAGLX	Andrew Acker	100,001	500,000
Janus Global Opportunities Fund	JGVAX	Jason P. Yee	500,001	1 million
Janus Global Opportunities Fund	JGVAX	Gregory Kolb	> 1 million	
Janus Growth and Income Fund	JAGIX	Marc Pinto	> 1 million	
Janus High Yield Fund	JAHYX	Gibson Smith	100,001	500,000
Janus Mid Cap Value Fund	JMIVX	Thomas M. Perkins	> 1 million	
Janus Mid Cap Value Fund	JMIVX	Jeffrey Kautz	500,001	1 million
Janus Orion Fund	JORNX	John Eisinger	100,001	500,000
Janus Overseas Fund	JAOSX	Brent A. Lynn	> 1 million	
Janus Research Fund	JAMRX	James P. Goff	> 1 million	
Janus Small Cap Value Fund	JSIVX	Robert Perkins	> 1 million	
Janus Small Cap Value Fund	JSIVX	Todd Perkins	100,001	500,000
Janus Twenty Fund	JAVLX	Ron Sachs	> 1 million	
Janus Venture Fund	JAVTX	William H. Bales	> 1 million	
Janus Worldwide Fund	JAWWX	Jason P. Yee	> 1 million	
Jensen Portfolio	JENSX	Kurt Havnaer	1	10,000
Jensen Portfolio	JENSX	Robert F. Zagunis	100,001	500,000
Jensen Portfolio	JENSX	Robert G. Millen	> 1 million	
Jensen Portfolio	JENSX	Eric Schoenstein	100,001	500,000
Jensen Portfolio	JENSX	Robert Mclver	10,001	50,000
JPMorgan U.S. Real Estate	SUSIX	Kenneth D. Statz	100,001	500,000
JPMorgan U.S. Real Estate	SUSIX	Anthony Manno Jr.	10,001	50,000
JPMorgan U.S. Real Estate	SUSIX	Kevin W. Bedell	100,001	500,000
Julius Baer International Equity Fund	JBIX	Rudolph-Riad Younes	> 1 million	
Julius Baer International Equity Fund	JBIX	Richard C. Pell	> 1 million	
Julius Baer International Equity II Fund	JETAX	Rudolph-Riad Younes	10,001	50,000
Julius Baer International Equity II Fund	JETAX	Richard C. Pell	50,001	100,000
Julius Baer Total Return Bond Fund	BJBGX	Richard C. Pell	50,001	100,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Julius Baer Total Return Bond Fund	BJBGX	Donald Quigley	50,001	100,000
Kalmar Growth with Value Small Cap Fund	KGSCX	Ford B. Draper Jr.	> 1 million	
Kalmar Growth with Value Small Cap Fund	KGSCX	Dana F. Walker	500,001	1 million
Kalmar Growth with Value Small Cap Fund	KGSCX	Gregory A. Hartley	500,001	1 million
Lazard Funds Emerging Markets Eqty Port	LZEMX	John R. Reinsberg	500,000	1 million
Lazard Funds Emerging Markets Eqty Port	LZEMX	Rohit Chopra	10,001	50,000
Lazard Funds Emerging Markets Eqty Port	LZEMX	James Donald	100,001	500,000
Lazard Funds Emerging Markets Eqty Port	LZEMX	Kevin OHare	10,001	50,000
Legg Mason Partners Aggressive Grth Fund	SHRAX	Richard A. Freeman	> 1 million	
Legg Mason Value Trust Fund	LMVTX	Bill Miller	> 1 million	
Legg Mason Value Trust Fund	LMVTX	Mary Gay	> 1 million	
LKCM Equity Portfolio	LKEQX	J. Luther King Jr.	> 1 million	
LKCM Equity Portfolio	LKEQX	Paul Greenwell	500,001	1 million
LKCM Small Cap Eq Fund	LKSCX	J. Luther King Jr.	> 1 million	
LKCM Small Cap Eq Fund	LKSCX	Steven Purvis	100,001	500,000
Longleaf Partners Fund	LLPFX	O. Mason Hawkins	> 1 million	
Longleaf Partners Fund	LLPFX	G. Staley Cates	> 1 million	
Longleaf Partners International Fund	LLINX	O. Mason Hawkins	> 1 million	
Longleaf Partners International Fund	LLINX	G. Staley Cates	> 1 million	
Longleaf Partners International Fund	LLINX	Eugene Andrew McDermott	> 1 million	
Longleaf Partners Small Cap Fund	LLSCX	O. Mason Hawkins	> 1 million	
Longleaf Partners Small Cap Fund	LLSCX	G. Staley Cates	> 1 million	
Loomis Sayles Bond Fund	LSBDX	Daniel J. Fuss	> 1 million	
Loomis Sayles Bond Fund	LSBDX	Kathleen C. Gaffney	500,001	1 million
Loomis Sayles Bond Fund	LSBDX	Matthew Eagan	100,001	500,000
Loomis Sayles Bond Fund	LSBDX	Elaine Stokes	0	
Loomis Sayles Global Bond Fund	LSGBX	David Rolley	100,001	500,000
Loomis Sayles Global Bond Fund	LSGBX	Kenneth Buntrock	100,001	500,000
Loomis Sayles Global Bond Fund	LSGBX	Lynda Schweitzer	100,001	500,000
Loomis Sayles Small Cap Growth Fund	LSSIX	Mark Burns	0	
Loomis Sayles Small Cap Growth Fund	LSSIX	John Slavik	100,001	500,000
Lord Abbett Affiliated Fund	LAFFX	Eli Salzmann	> 1 million	
Madison Mosaic Investors Fund	MINVX	Jay R. Sekelsky	> 1 million	
Madison Mosaic Investors Fund	MINVX	Richard Eisinger	100,001	500,000
Madison Mosaic Investors Fund	MINVX	Matt Hayner	10,001	50,000
MainStay ICAP Equity Fund	ICAEX	Jerrold K. Senser	500,001	1 million
MainStay ICAP Equity Fund	ICAEX	Thomas R. Wenzel	> 1 million	
MainStay ICAP International Fund	ICEUX	Jerrold K. Senser	> 1 million	
MainStay ICAP International Fund	ICEUX	Thomas R. Wenzel	> 1 million	
MainStay ICAP Select Equity Fund	ICSLX	Jerrold K. Senser	> 1 million	
MainStay ICAP Select Equity Fund	ICSLX	Thomas R. Wenzel	> 1 million	
MainStay Map Fund	MUBFX	Roger M. Lob	50,001	100,000
MainStay Map Fund	MUBFX	Michael J. Mullarkey	10,001	50,000
MainStay Map Fund	MUBFX	Jerrold K. Senser	0	
MainStay Map Fund	MUBFX	Christopher Mullarkey	1	10,000
Mairs & Power Balanced Fund	MAPOX	William B. Frels	100,001	500,000
Mairs & Power Balanced Fund	MAPOX	Ronald Kaliebe	50,001	100,000
Mairs & Power Growth Fund	MPGFX	William B. Frels	> 1 million	
Mairs & Power Growth Fund	MPGFX	Mark L. Henneman	50,001	100,000
Managers Fremont Bond Fund	MBDFX	William H. Gross	0	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Managers Fremont Micro Cap Fund	MMCFX	Donald M. Longlet	0	
Managers Fremont Micro Cap Fund	MMCFX	Thomas L. Press	0	
Managers Fremont Micro Cap Fund	MMCFX	Michael James	0	
Managers Fremont Micro Cap Fund	MMCFX	Anthony W. Hipple	0	
Managers Fremont Micro Cap Fund	MMCFX	Robert E. Scott	0	
Managers Fremont Micro Cap Fund	MMCFX	Daniel Goldfarb	0	
Managers Fremont Micro Cap Fund	MMCFX	Christopher Crooks	0	
Managers Fremont Micro Cap Fund	MMCFX	Steven Dray	0	
Managers Fremont Micro Cap Fund	MMCFX	Peter Bridge	0	
Managers Fremont Micro Cap Fund	MMCFX	Michael Gardner	0	
Managers Fremont Micro Cap Fund	MMCFX	Peter Capouch	0	
Managers Fremont Micro Cap Fund	MMCFX	Kaj Doerring	0	
Managers Fremont Micro Cap Fund	MMCFX	Thomas OHalloran	0	
Managers Fremont Micro Cap Fund	MMCFX	Monika LaRiche	0	
Managers Special Equity Fund	MGSEX	Andrew J. Knuth	0	
Managers Special Equity Fund	MGSEX	Edmund H. Nicklin Jr.	0	
Managers Special Equity Fund	MGSEX	Stephen S. Smith	0	
Managers Special Equity Fund	MGSEX	Charles McCurdy Jr.	0	
Managers Special Equity Fund	MGSEX	William Fiedler	0	
Managers Special Equity Fund	MGSEX	Michael Maloney	0	
Managers Special Equity Fund	MGSEX	Charles Mercer, Jr.	0	
Managers Special Equity Fund	MGSEX	Donald Smith	0	
Managers Special Equity Fund	MGSEX	Richard Greenberg	0	
Managers Special Equity Fund	MGSEX	B. Anthony Webber	0	
Managers Special Equity Fund	MGSEX	Thomas OHalloran	0	
Managers Special Equity Fund	MGSEX	Keitha Kinne	0	
Manning & Napier Equity Series Fund	EXEYX	Christian Andreach	100,001	500,000
Manning & Napier Equity Series Fund	EXEYX	Jeffrey Donlon	0	
Manning & Napier Equity Series Fund	EXEYX	Brian Gambill	0	
Manning & Napier Equity Series Fund	EXEYX	Jeffrey Herrmann	500,001	1 million
Manning & Napier Equity Series Fund	EXEYX	Michael Magiera	500,001	1 million
Manning & Napier Equity Series Fund	EXEYX	Marc Tommasi	0	
Marsico 21St Century Fund	MXXIX	Corydon Gilchrist	100,001	500,000
Marsico Focus Fund	MFOCX	Thomas F. Marsico	> 1 million	
Marsico Global Fd	MGLBX	Thomas F. Marsico	> 1 million	
Marsico Global Fd	MGLBX	James Gendelman	0	
Marsico Global Fd	MGLBX	Corydon Gilchrist	100,001	500,000
Marsico Growth Fund	MGRIX	Thomas F. Marsico	> 1 million	
Marsico International Opportunities Fund	MIOFX	James Gendelman	100,001	500,000
Masters' Select Equity Fund	MSEFX	O. Mason Hawkins	0	
Masters' Select Equity Fund	MSEFX	Richard T. Weiss	> 1 million	
Masters' Select Equity Fund	MSEFX	Christopher Davis	0	
Masters' Select Equity Fund	MSEFX	Christopher K. McHugh	0	
Masters' Select Equity Fund	MSEFX	Kenneth Feinberg	0	
Masters' Select Equity Fund	MSEFX	Frank Sands, Jr.	0	
Masters' Select Equity Fund	MSEFX	Bill D'Alonzo	100,001	500,000
Masters' Select Equity Fund	MSEFX	Bill Miller	0	
Masters' Select Equity Fund	MSEFX	William McVail	0	
Masters' Select Equity Fund	MSEFX	Michael Sramek	0	
Masters' Select Equity Fund	MSEFX	Robert Turner	0	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Masters' Select Equity Fund	MSEFX	Jeremy DeGroot	10,001	50,000
Masters' Select Equity Fund	MSEFX	Kenneth Gregory	500,001	1 million
Masters' Select Focused Opportunities Fd	MSFOX	Christopher Davis	0	
Masters' Select Focused Opportunities Fd	MSFOX	Kenneth Feinberg	0	
Masters' Select Focused Opportunities Fd	MSFOX	Michael Embler	0	
Masters' Select Focused Opportunities Fd	MSFOX	Jeremy DeGroot	100,001	500,000
Masters' Select Focused Opportunities Fd	MSFOX	Kenneth Gregory	500,001	1 million
Masters' Select International Fund	MSILX	James LaTorre	10,001	50,000
Masters' Select International Fund	MSILX	Theodore J. Tyson	500,001	1 million
Masters' Select International Fund	MSILX	David G. Herro	> 1 million	
Masters' Select International Fund	MSILX	James Gendelman	0	
Masters' Select International Fund	MSILX	Douglas Allen	0	
Masters' Select International Fund	MSILX	Amit Wadhwaney	0	
Masters' Select International Fund	MSILX	Bill Fries	50,001	100,000
Masters' Select International Fund	MSILX	Edward Wendell	100,001	500,000
Masters' Select International Fund	MSILX	Howard Appleby	0	
Masters' Select International Fund	MSILX	Jean-Francois Ducrest	0	
Masters' Select International Fund	MSILX	Vinson Walden	0	
Masters' Select International Fund	MSILX	Jeremy DeGroot	100,001	500,000
Masters' Select International Fund	MSILX	Kenneth Gregory	500,001	1 million
Masters' Select Smaller Companies Fund	MSSFY	Robert L. Rodriguez	0	
Masters' Select Smaller Companies Fund	MSSFY	Richard T. Weiss	> 1 million	
Masters' Select Smaller Companies Fund	MSSFY	Michael Malouf	0	
Masters' Select Smaller Companies Fund	MSSFY	Tucker Walsh	0	
Masters' Select Smaller Companies Fund	MSSFY	Bill D'Alonzo	100,001	500,000
Masters' Select Smaller Companies Fund	MSSFY	Jeremy DeGroot	50,001	100,000
Masters' Select Smaller Companies Fund	MSSFY	Kenneth Gregory	100,001	500,000
Masters' Select Value Fund	MSVFX	O. Mason Hawkins	0	
Masters' Select Value Fund	MSVFX	William C. Nygren	0	
Masters' Select Value Fund	MSVFX	Bill Miller	0	
Masters' Select Value Fund	MSVFX	Michael Embler	0	
Masters' Select Value Fund	MSVFX	Jeremy DeGroot	50,001	100,000
Masters' Select Value Fund	MSVFX	Kenneth Gregory	100,001	500,000
Matrix Advisors Value Fund	MAVFX	David A. Katz	> 1 million	
Matthews China Fund	MCHFX	Mark W. Headley	100,001	500,000
Matthews China Fund	MCHFX	Richard H. Gao	50,001	100,000
Matthews China Fund	MCHFX	Andrew Foster	10,001	50,000
Matthews India Fund	MINDX	Andrew Foster	10,001	50,000
Matthews India Fund	MINDX	Sharat Shroff	1	10,000
Matthews Pacific Tiger Fund	MAPTX	Mark W. Headley	100,001	500,000
Matthews Pacific Tiger Fund	MAPTX	Richard H. Gao	10,001	50,000
Merger Fund	MERFX	Frederick W. Green	> 1 million	
Merger Fund	MERFX	Michael Shannon	500,001	1 million
Merger Fund	MERFX	Roy Behren	> 1 million	
Meridian Growth Fund	MERDX	Richard F. Aster Jr.	> 1 million	
Meridian Growth Fund	MERDX	William Tao	0	
Meridian Value Fund	MVALX	Richard F. Aster Jr.	> 1 million	
Meridian Value Fund	MVALX	James England	100,001	500,000
Meridian Value Fund	MVALX	James O'Connor	10,001	50,000
Meridian Value Fund	MVALX	Larry Cordisco	100,001	500,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Metropolitan West High Yield Bond Fund	MWHYX	Laird Landmann	0	
Metropolitan West High Yield Bond Fund	MWHYX	Stephen Kane	50,001	100,000
Metropolitan West High Yield Bond Fund	MWHYX	David Lippman	0	
Metropolitan West High Yield Bond Fund	MWHYX	Jamie Farnham	0	
Metropolitan West High Yield Bond Fund	MWHYX	Gino Nucci	10,001	50,000
Metropolitan West Low Duration Bond Fund	MWLDX	Tad Rivelle	> 1 million	
Metropolitan West Low Duration Bond Fund	MWLDX	Laird Landmann	1	10,000
Metropolitan West Low Duration Bond Fund	MWLDX	Stephen Kane	50,001	100,000
Metropolitan West Low Duration Bond Fund	MWLDX	David Lippman	0	
Metropolitan West Total Return Bond Fund	MWTRX	Tad Rivelle	100,001	500,000
Metropolitan West Total Return Bond Fund	MWTRX	Laird Landmann	0	
Metropolitan West Total Return Bond Fund	MWTRX	Stephen Kane	50,001	100,000
Metropolitan West Total Return Bond Fund	MWTRX	David Lippman	0	
MFS Total Return Fund	MSFRX	Richard O. Hawkins	100,001	500,000
MFS Total Return Fund	MSFRX	Michael W. Roberge	100,001	500,000
MFS Total Return Fund	MSFRX	Steven R. Gorham	100,001	500,000
MFS Total Return Fund	MSFRX	Brooks Taylor	100,001	500,000
MFS Total Return Fund	MSFRX	Gregory Locraft	0	
MFS Total Return Fund	MSFRX	William P. Douglas	50,001	100,000
MFS Total Return Fund	MSFRX	Nevin Chitkara	0	
Muhlenkamp Fund	MUHLX	Ronald H. Muhlenkamp	> 1 million	
Mutual Beacon Fund	BGRX	Michael Emblar	500,001	1 million
Mutual Beacon Fund	BGRX	Christian Correa	100,001	500,000
Mutual Discovery Fund	MDISX	Anne Gudefin	100,001	500,000
Mutual Discovery Fund	MDISX	Charles Lahr	500,001	1 million
Mutual Discovery Fund	MDISX	Mandana Hormozi	50,001	100,000
Mutual European Fund	MEURX	Philippe Brugere-Trelat	100,001	500,000
Mutual European Fund	MEURX	Katrina Dudley	100,001	500,000
Mutual Qualified Fund	MQIFX	Anne Gudefin	0	
Mutual Qualified Fund	MQIFX	Shawn Tumulty	0	
Mutual Shares Fund	MUTHX	Peter Langerman	> 1 million	
Mutual Shares Fund	MUTHX	Deborah Turner	100,001	500,000
Mutual Shares Fund	MUTHX	F. Segal	100,001	500,000
Neuberger Berman Genesis Fund	NBGNX	Judith M. Vale	> 1 million	
Neuberger Berman Genesis Fund	NBGNX	Robert W. D'Alelio	500,001	1 million
Neuberger Berman Genesis Fund	NBGNX	Brett Reiner	0	
Neuberger Berman Genesis Fund	NBGNX	Michael L. Bowyer	500,001	1 million
Neuberger Berman International Fund	NBISX	Benjamin Segal	100,001	500,000
Neuberger Berman International Fund	NBISX	Milu Komer	0	
Neuberger Berman Partners Fund	NPRTX	S. Basu Mullick	0	
Nicholas Fund	NICSX	Albert O. Nicholas	> 1 million	
Nicholas Fund	NICSX	David O. Nicholas	> 1 million	
Northeast Investors Trust	NTHEX	Ernest E. Monrad	> 1 million	
Northeast Investors Trust	NTHEX	Bruce H. Monrad	> 1 million	
Oak Value Fund	OAKVX	David R. Carr Jr.	> 1 million	
Oak Value Fund	OAKVX	Larry Coats Jr.	> 1 million	
Oakmark Equity and Income Fund	OAKBX	Clyde McGregor	> 1 million	
Oakmark Equity and Income Fund	OAKBX	Edward Studzinski	> 1 million	
Oakmark Fund	OAKMX	Kevin Grant	> 1 million	
Oakmark Fund	OAKMX	William C. Nygren	> 1 million	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Oakmark Global Fund	OAKGX	Clyde McGregor	> 1 million	
Oakmark Global Fund	OAKGX	Robert A. Taylor	> 1 million	
Oakmark Global Select Fund	OAKWX	David G. Herro	> 1 million	
Oakmark Global Select Fund	OAKWX	William C. Nygren	> 1 million	
Oakmark International Fund	OAKIX	David G. Herro	> 1 million	
Oakmark International Small Cap Fund	OAKEX	David G. Herro	> 1 million	
Oakmark International Small Cap Fund	OAKEX	Chad Clark	> 1 million	
Oakmark Select Fund	OAKLX	William C. Nygren	> 1 million	
Oakmark Select Fund	OAKLX	Henry Berghoef	> 1 million	
Oppenheimer Developing Markets Fund	ODVBX	Justin Leverenz	10,001	50,000
Oppenheimer Global Fund	OPPAX	Rajeev Bhaman	> 1 million	
Oppenheimer Global Opportunities	OPGIX	Frank Jennings	500,001	1 million
Oppenheimer International Growth Fund	OIGAX	George Evans	100,001	500,000
Oppenheimer Main Street Fund	MSIGX	Mark Zavanelli	100,001	500,000
Oppenheimer Main Street Fund	MSIGX	Marc Reinganum	100,001	500,000
Osterweis Fund	OSTFX	John S. Osterweis	> 1 million	
Osterweis Fund	OSTFX	Stephen Moore	50,001	100,000
Osterweis Fund	OSTFX	Matthew Berler	100,001	500,000
Osterweis Fund	OSTFX	Gregory Hermanski	100,001	500,000
Osterweis Fund	OSTFX	Alexander Kovriga	100,001	500,000
Pax World Balanced Fund	PAXWX	Christopher Brown	100,001	500,000
Payden Core Bond Fund	PYCBX	James Sarni	0	
Payden Core Bond Fund	PYCBX	Kristin Ceva	0	
Payden GNMA Fund	PYGNX	Gary Greenberg	0	
Payden GNMA Fund	PYGNX	David Ballantine	0	
Payden Limited Maturity Fund	PYLMX	Mary Syal	0	
Payden Limited Maturity Fund	PYLMX	David Ballantine	0	
PIMCO Commodity Real Return Strategy	PCRIX	Mihir Worah	0	
PIMCO Emerging Markets Bond Fund	PAEMX	Michael Gomez	0	
PIMCO Foreign Bond (US Dollar-Hedged)	PFORX	Scott Mather	0	
PIMCO Foreign Bond (US Dollar-Hedged)	PFORX	Sudi Mariappa	0	
PIMCO Foreign Bond Fund (Unhedged)	PFUIX	Scott Mather	0	
PIMCO High Yield Fund	PHIYX	Mark Hudoff	0	
PIMCO Low Duration Fund	PTLDX	William H. Gross	0	
PIMCO Real Return Fund	PRTNX	Mihir Worah	0	
PIMCO Short Term Fund	PTSHX	Paul McCulley	0	
PIMCO Total Return Fund	PTRRX	William H. Gross	> 1 million	
Pioneer Fund	PIODX	John Carey	> 1 million	
Pioneer Fund	PIODX	Walter Hunnewell	0	
Polaris Global Value Fund	PGVFX	Bernard R Horn	> 1 million	
Presidio Fund	PRSDX	Kevin C. O'Boyle	> 1 million	
PrimeCap Odyssey Aggressive Growth Fund	POAGX	Howard B. Schow	> 1 million	
PrimeCap Odyssey Aggressive Growth Fund	POAGX	Theo A. Kolokotronis	> 1 million	
PrimeCap Odyssey Aggressive Growth Fund	POAGX	Joel P. Fried	> 1 million	
PrimeCap Odyssey Aggressive Growth Fund	POAGX	Alfred Mordecai	100,001	500,000
PrimeCap Odyssey Growth Fund	POGRX	Howard B. Schow	> 1 million	
PrimeCap Odyssey Growth Fund	POGRX	Theo A. Kolokotronis	> 1 million	
PrimeCap Odyssey Growth Fund	POGRX	Joel P. Fried	> 1 million	
PrimeCap Odyssey Growth Fund	POGRX	Mitchell Milias	> 1 million	
PrimeCap Odyssey Growth Fund	POGRX	Alfred Mordecai	100,001	500,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
PrimeCap Odyssey Stock Fund	POSKX	Howard B. Schow	> 1 million	
PrimeCap Odyssey Stock Fund	POSKX	Theo A. Kolokotronis	> 1 million	
PrimeCap Odyssey Stock Fund	POSKX	Joel P. Fried	> 1 million	
PrimeCap Odyssey Stock Fund	POSKX	Mitchell Miliadis	> 1 million	
PrimeCap Odyssey Stock Fund	POSKX	Alfred Mordecai	100,001	500,000
Rainer Small/Mid Cap Equity Portfolio	RIMSX	James R. Margard	> 1 million	
Rainer Small/Mid Cap Equity Portfolio	RIMSX	Peter M. Musser	100,001	500,000
Rainer Small/Mid Cap Equity Portfolio	RIMSX	Stacie Cowell	0	
Rainer Small/Mid Cap Equity Portfolio	RIMSX	Mark H. Dawson	50,001	100,000
Rainer Small/Mid Cap Equity Portfolio	RIMSX	Mark W. Broughton	50,001	100,000
Rainer Small/Mid Cap Equity Portfolio	RIMSX	Daniel M. Brewer	50,001	100,000
Robeco Boston Partners SmallCap Value II	BPSCX	David M Dabora	500,001	1 million
Royce Opportunity Fund	RYPNX	Boniface Zaino	> 1 million	
Royce Opportunity Fund	RYPNX	William Hench	500,001	1 million
Royce Pennsylvania Mutual	PENNX	Charles M. Royce	> 1 million	
Royce Pennsylvania Mutual	PENNX	Jay Kaplan	> 1 million	
Royce Pennsylvania Mutual	PENNX	Lauren Romeo	10,001	50,000
Royce Premier Fund	RYPRX	Charles M. Royce	> 1 million	
Royce Premier Fund	RYPRX	W. Whitney George	> 1 million	
Royce Premier Fund	RYPRX	Lauren Romeo	500,001	1 million
Royce Special Equity Fund	RYSEX	Charles R. Dreifus	> 1 million	
Royce Total Return Fund	RYTRX	Charles M. Royce	> 1 million	
Royce Total Return Fund	RYTRX	Jay Kaplan	500,001	1 million
Royce Total Return Fund	RYTRX	George Necakov	> 1 million	
Royce Total Return Fund	RYTRX	Chris Flynn	0	
Royce Value Fund	RYVFX	W. Whitney George	> 1 million	
Royce Value Fund	RYVFX	Jay Kaplan	500,001	1 million
RS Mid Cap Opportunities Fund	RSMOX	John L. Wallace	> 1 million	
RS Mid Cap Opportunities Fund	RSMOX	John H. Seabern	100,001	500,000
RS Value Fund	RSVAX	Andrew P. Pilara, Jr.	> 1 million	
RS Value Fund	RSVAX	David Kelley	> 1 million	
RS Value Fund	RSVAX	Joseph Wolf	> 1 million	
RS Value Fund	RSVAX	Mackenzie B. Davis	100,001	500,000
Schneider Small Cap Value Fund	SCMVX	Arnold Schneider III	> 1 million	
Schneider Value Fund	SCMLX	Arnold Schneider III	> 1 million	
Selected American Shares Fund	SLASX	Christopher Davis	> 1 million	
Selected American Shares Fund	SLASX	Kenneth Feinberg	> 1 million	
Selected Special Shares Fund	SLSSX	Christopher Davis	50,001	100,000
Selected Special Shares Fund	SLSSX	Dwight Blazin	10,001	50,000
Selected Special Shares Fund	SLSSX	Danton Goei	50,001	100,000
Selected Special Shares Fund	SLSSX	Tania Pouschine	0	
Selected Special Shares Fund	SLSSX	Stephen Chen	1	10,000
Sequoia Fund	SEQUX	Robert D. Goldfarb	> 1 million	
Sequoia Fund	SEQUX	David Poppe	100,001	500,000
Skyline Special Equities Portfolio	SKSEX	William Fiedler	> 1 million	
Skyline Special Equities Portfolio	SKSEX	Mark Odegard	500,001	1 million
Skyline Special Equities Portfolio	SKSEX	Michael Maloney	> 1 million	
Sound Shore Fund	SSHFX	Harry Burn III	> 1 million	
Sound Shore Fund	SSHFX	T. Gibbs Kane Jr.	> 1 million	
SSGA Emerging Markets Fund	SSEMXX	Steven J. McCarthy	100,001	500,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
SSGA Emerging Markets Fund	SSEMX	Brad Aham	50,001	100,000
Stratton Small Cap Value Fund	STSCX	Gerald Van Horn	100,001	500,000
T. Rowe Price Balanced	RPBAX	Edmund Notzon III	0	
T. Rowe Price Blue Chip Growth Fund	TRBCX	Larry Puglia	500,001	1 million
T. Rowe Price Capital Appreciation Fund	PRWCX	David Giroux	100,001	500,000
T. Rowe Price Dividend Growth Fund	PRDGX	Thomas J. Huber	100,001	500,000
T. Rowe Price Emerging Markets Stock	PRMSX	Christopher Alderson	100,001	500,000
T. Rowe Price Equity Income Fund	PRFDX	Brian Rogers	> 1 million	
T. Rowe Price Financial Services Fund	PRISX	Jeff Arricale	50,001	100,000
T. Rowe Price Global Stock Fund	PRGSX	Robert Gensler	> 1 million	
T. Rowe Price Global Technology Fund	PRGTX	Jeffrey Rottinghaus	10,001	50,000
T. Rowe Price Growth and Income Fund	PRGIX	Thomas J. Huber	100,001	500,000
T. Rowe Price Growth Stock Fund	PRGFX	P. Robert Bartolo	> 1 million	
T. Rowe Price Health Sciences Fund	PRHSX	Kris Jenner	100,001	500,000
T. Rowe Price High Yield Fund	PRHYX	Mark Vaselkiv	100,001	500,000
T. Rowe Price International Bond Fund	RPIBX	Ian Kelson	100,001	500,000
T. Rowe Price International Discovery	PRIDX	Justin Thomson	100,001	500,000
T. Rowe Price International Growth and I	TRIGX	Raymond A. Mills	100,001	500,000
T. Rowe Price International Stock Fund	PRITX	Robert Smith	100,001	500,000
T. Rowe Price Latin America Fund	PRLAX	Gonzalo Pangaro	100,001	500,000
T. Rowe Price Media and Telecommunication	PRMTX	Henry Ellenbogen	50,001	100,000
T. Rowe Price Mid Cap Growth Fund	RPMGX	Brian W.H. Berghuis	> 1 million	
T. Rowe Price Mid Cap Value Fund	TRMCX	David J. Wallack	500,001	1 million
T. Rowe Price New America Growth Fund	PRWAX	Joseph Milano	500,001	1 million
T. Rowe Price New Asia Fund	PRASX	Frances Dydasco	0	
T. Rowe Price New Era Fund	PRNEX	Charles M. Ober	100,001	500,000
T. Rowe Price New Horizons Fund	PRNHX	John H. Laporte	> 1 million	
T. Rowe Price New Income Fund	PRCIX	Daniel O. Shackelford	10,001	50,000
T. Rowe Price Personal Strategy income Fund	PRSIX	Edmund Notzon III	10,001	50,000
T. Rowe Price Real Estate Fund	TRREX	David Lee	100,001	500,000
T. Rowe Price Retirement 2015 Fund	TRRGX	Jerome Clark	0	
T. Rowe Price Science and Technology Fund	PRSCX	Michael F. Sola	100,001	500,000
T. Rowe Price Short Term Bond Fund	PRWBX	Edward A. Wiese	100,001	500,000
T. Rowe Price Small Cap Stock Fund	OTCFX	Gregory A. McCrickard	100,001	500,000
T. Rowe Price Small Cap Value Fund	PRSVX	Preston Athey	> 1 million	
T. Rowe Price Spectrum Growth Fund	PRSGX	Edmund Notzon III	500,001	1 million
T. Rowe Price Spectrum Income Fund	RPSIX	Edmund Notzon III	500,001	1 million
T. Rowe Price Tax Free High Yield Fund	PRFHX	James M. Murphy	10,001	50,000
T. Rowe Price Tax Free Income Fund	PRTAX	Mary J. Miller	100,001	500,000
T. Rowe Price Tax-Free Short-Intermediate	PRFSX	Charles B. Hill	0	
T. Rowe Price U.S. Treasury Long-Term Fund	PRULX	Brian Brennan	10,001	50,000
T. Rowe Price Value Fund	TRVLX	John Linehan	100,001	500,000
TCW Dividend Focused Fund	TGIGX	Diane Jaffee	100,001	500,000
TCW Relative Value Small Cap Fund	TGONX	Diane Jaffee	100,001	500,000
TCW Total Return Bond Fund	TGLMX	Philip Barach	> 1 million	
TCW Total Return Bond Fund	TGLMX	Jeffrey E. Gundlach	100,001	500,000
TCW Value Added Fund	TGSVX	Susan Suvall	100,001	500,000
TCW Value Added Fund	TGSVX	Nicholas Galluccio	500,001	1 million
TCW Value Opportunities Fund	TGVOX	Susan Suvall	100,001	500,000
TCW Value Opportunities Fund	TGVOX	Nicholas Galluccio	> 1 million	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Templeton Growth Fund	TEPLX	Lisa Myers	0	
Templeton Growth Fund	TEPLX	Tucker Scott	0	
Templeton Growth Fund	TEPLX	Cynthia Sweeting	0	
Templeton World Fund	TEMWX	Lisa Myers	10,001	50,000
Templeton World Fund	TEMWX	Tucker Scott	0	
Templeton World Fund	TEMWX	Cynthia Sweeting	0	
Third Avenue International Value Fund	TAVIX	Amit Wadhwaney	> 1 million	
Third Avenue Real Estate Value Fund	TAREX	Michael Winer	> 1 million	
Third Avenue Small Cap Value Fund	TASCX	Curtis Jensen	> 1 million	
Third Avenue Value Fund	TAVFX	Martin J. Whitman	> 1 million	
Thompson Plumb Growth Fund	THPGX	John W. Thompson	> 1 million	
Thompson Plumb Growth Fund	THPGX	John C. Thompson	> 1 million	
Thornburg International Value Fund	TGVAX	William V. Fries	> 1 million	
Thornburg International Value Fund	TGVAX	Wendy Trevisani	100,001	500,000
Thornburg International Value Fund	TGVAX	Lei Wang	10,001	50,000
Thornburg Value Fund	TVAFX	William V. Fries	> 1 million	
Thornburg Value Fund	TVAFX	Edward Maran	50,001	100,000
Thornburg Value Fund	TVAFX	Connor Browne	10,001	50,000
Torrey Fund	TORYX	Robert E. Torrey	> 1 million	
Torrey Fund	TORYX	Shawn Hendon	0	
Torrey Fund	TORYX	Wayne Shaner	50,001	100,000
Torrey Fund	TORYX	Fred Fialco	50,001	100,000
Touchstone Sands Capital Select Growth	PTSGX	Frank M. Sands Sr.	0	
Touchstone Sands Capital Select Growth	PTSGX	Frank Sands, Jr.	0	
Touchstone Sands Capital Select Growth	PTSGX	David E. Levanson	0	
Turner Midcap Growth Fund	TMGFX	Christopher K. McHugh	500,001	1 million
Turner Midcap Growth Fund	TMGFX	Jason Schrotberger	10,001	50,000
Turner Midcap Growth Fund	TMGFX	Tara Hedlund	100,001	500,000
Turner Small Cap Growth Fund	TSCEX	Jason Schrotberger	0	
Turner Small Cap Growth Fund	TSCEX	Rick Wetmore	1	10,000
Turner Small Cap Growth Fund	TSCEX	Bill McVail	100,001	500,000
Tweedy, Browne Global Value Fund	TBGVX	Christopher H. Browne	> 1 million	
Tweedy, Browne Global Value Fund	TBGVX	William H. Browne	> 1 million	
Tweedy, Browne Global Value Fund	TBGVX	John D. Spears	> 1 million	
Tweedy, Browne Global Value Fund	TBGVX	Robert Wyckoff, Jr.	500,001	1 million
Tweedy, Browne Value Fund	TWEBX	Christopher H. Browne	> 1 million	
Tweedy, Browne Value Fund	TWEBX	William H. Browne	> 1 million	
Tweedy, Browne Value Fund	TWEBX	John D. Spears	> 1 million	
Tweedy, Browne Value Fund	TWEBX	Robert Wyckoff, Jr.	50,001	100,000
UMB Scout International Fund	UMBWX	James L. Moffett	100,001	500,000
UMB Scout International Fund	UMBWX	Gary Anderson	10,001	50,000
Undiscovered Mngers Behavioral Growth	UBRLX	Russell J. Fuller	100,001	500,000
Undiscovered Mngers Behavioral Growth	UBRLX	Frederick W. Stanske	100,001	500,000
USAA Aggressive Growth Fund	USAUX	Thomas F. Marsico	0	
USAA Income Fund	USAIX	Margaret Weinblatt	100,001	500,000
USAA International Fund	USIFX	David R. Mannheim	0	
USAA International Fund	USIFX	Marcus L. Smith	0	
USAA Tax Exempt Intermediate Term Fund	USATX	Clifford A. Gladson	> 1 million	
USAA Tax Exempt Intermediate Term Fund	USATX	Regina Shafer	100,001	500,000
USAA Tax Exempt Long Term Fund	USTEX	John Bonnell	50,001	100,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
USAA Tax Exempt Short Term Fund	USSTX	Clifford A. Gladson	> 1 million	
USAA Tax Exempt Short Term Fund	USSTX	Regina Shafer	100,001	500,000
Van Kampen Comstock Fund	ACSTX	B. Robert Baker, Jr.	> 1 million	
Van Kampen Comstock Fund	ACSTX	Jason Leder	500,001	1 million
Van Kampen Comstock Fund	ACSTX	Kevin C. Holt	500,001	1 million
Van Kampen Comstock Fund	ACSTX	Devin Armstrong	1	10,000
Van Kampen Comstock Fund	ACSTX	James Warwick	10,001	50,000
Van Kampen Equity and Income Fund	ACEIX	James A. Gilligan	> 1 million	
Van Kampen Equity and Income Fund	ACEIX	Steven K. Kreider	0	
Van Kampen Equity and Income Fund	ACEIX	James O. Roeder	100,001	500,000
Van Kampen Equity and Income Fund	ACEIX	Mark Laskin	1	10,000
Van Kampen Equity and Income Fund	ACEIX	Thomas Bastian	100,001	500,000
Van Kampen Equity and Income Fund	ACEIX	Sergio Marcheli	0	
Van Kampen Growth and Income Fund	ACGIX	James A. Gilligan	> 1 million	
Van Kampen Growth and Income Fund	ACGIX	James O. Roeder	500,001	1 million
Van Kampen Growth and Income Fund	ACGIX	Mark Laskin	0	
Van Kampen Growth and Income Fund	ACGIX	Thomas Bastian	100,001	500,000
Van Kampen Growth and Income Fund	ACGIX	Sergio Marcheli	10,001	50,000
Van Kampen Pace Fund	ACPAX	Dennis Lynch	0	
Van Kampen Pace Fund	ACPAX	David Cohen	0	
Van Kampen Pace Fund	ACPAX	Sam Chainani	0	
Van Kampen Pace Fund	ACPAX	Alexander Norton	0	
Van Kampen Pace Fund	ACPAX	Jason Yeung	0	
Vanguard Asset Allocation Fund	VAAPX	Thomas F. Loeb	100,001	500,000
Vanguard Asset Allocation Fund	VAAPX	Charles J. Jacklin	0	
Vanguard Asset Allocation Fund	VAAPX	Helen Potter	0	
Vanguard Balanced Index Fund	VBINX	Gregory Davis	0	
Vanguard Balanced Index Fund	VBINX	Michael Perre	0	
Vanguard Capital Opportunity Fund	VHCOX	Howard B. Schow	> 1 million	
Vanguard Capital Opportunity Fund	VHCOX	Theo A. Kolokotronis	> 1 million	
Vanguard Capital Opportunity Fund	VHCOX	Joel P. Fried	> 1 million	
Vanguard Capital Opportunity Fund	VHCOX	Alfred Mordecai	100,001	500,000
Vanguard Capital Opportunity Fund	VHCOX	David Van Slooten	500,001	1 million
Vanguard Capital Value Fund	VCVLX	David Fassnacht	1	10,000
Vanguard Convertible Securities Fund	VCSVX	Larry Keele	100,001	500,000
Vanguard Dividend Growth Fund	VDIGX	Donald Kilbride	500,001	1 million
Vanguard Emerging Markets Stock Index Fund	VEIEX	Duane Kelly	10,001	50,000
Vanguard Energy Fund	VGENX	Karl Bandtel	500,001	1 million
Vanguard Energy Fund	VGENX	James A. Bevilacqua	100,001	500,000
Vanguard Energy Fund	VGENX	James Troyer	0	
Vanguard Equity Income Fund	VEIPX	John R. Ryan	500,001	1 million
Vanguard Equity Income Fund	VEIPX	James Stetler	0	
Vanguard Equity Income Fund	VEIPX	Michael Reckmeyer III	100,001	500,000
Vanguard European Stock Index Fund	VEURX	Duane Kelly	0	
Vanguard Explorer Fund	VEXPX	Edward Antoian	0	
Vanguard Explorer Fund	VEXPX	John Granahan	500,001	1 million
Vanguard Explorer Fund	VEXPX	Kenneth L. Abrams	> 1 million	
Vanguard Explorer Fund	VEXPX	John Heffern	0	
Vanguard Explorer Fund	VEXPX	Alexander L. Thorndike	0	
Vanguard Explorer Fund	VEXPX	Ford B. Draper Jr.	0	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Vanguard Explorer Fund	VEXPX	William E. Ricks	0	
Vanguard Explorer Fund	VEXPX	James Troyer	0	
Vanguard Extended Market Index Fund	VEXMX	Donald Butler	0	
Vanguard Five Hundred Index Fund	VFINX	Michael H. Buek	1	10,000
Vanguard FTSE All World ex-US Index Fund	VFWIX	Duane Kelly	0	
Vanguard FTSE Social Index Fund	VFTSX	Michael Perre	0	
Vanguard Global Equity Fund	VHGEX	Brian Wolahan	0	
Vanguard Global Equity Fund	VHGEX	Jeremy J. Hosking	0	
Vanguard Global Equity Fund	VHGEX	Ronald Frashure	100,001	500,000
Vanguard Global Equity Fund	VHGEX	John Chisholm	100,001	500,000
Vanguard Global Equity Fund	VHGEX	Henry D'Auria	10,001	50,000
Vanguard Global Equity Fund	VHGEX	Kevin F. Simms	0	
Vanguard Global Equity Fund	VHGEX	Malcolm MacColl	0	
Vanguard Global Equity Fund	VHGEX	Sharon Fay	0	
Vanguard Global Equity Fund	VHGEX	Charles Plowden	0	
Vanguard Global Equity Fund	VHGEX	William Arah	0	
Vanguard Global Equity Fund	VHGEX	Neil Ostrer	0	
Vanguard Global Equity Fund	VHGEX	Spencer Adair	0	
Vanguard GNMA Fund	VFIIX	Thomas L. Pappas	100,001	500,000
Vanguard GNMA Fund	VFIIX	Michael Garrett	10,001	50,000
Vanguard Growth and Income Fund	VQNPX	John S. Cone	100,001	500,000
Vanguard Growth Equity Fund	VGEQX	Robert E. Turner	100,001	500,000
Vanguard Growth Equity Fund	VGEQX	Mark Turner	100,001	500,000
Vanguard Growth Equity Fund	VGEQX	Christopher K. McHugh	100,001	500,000
Vanguard Growth Equity Fund	VGEQX	Mick Brewis	0	
Vanguard Growth Index Fund	VIGRX	Gerard O'Reilly	0	
Vanguard Health Care Fund	VGHCX	Edward P. Owens	> 1 million	
Vanguard Health Care Fund	VGHCX	Jean M. Hynes	10,001	50,000
Vanguard High Yield Corporate Fund	VWEHX	Earl E. McEvoy	0	
Vanguard High Yield Corporate Fund	VWEHX	Hong Michael	0	
Vanguard High Yield Tax Exempt Fund	VWAHX	Reid Smith	0	
Vanguard Inflation-Protected Securities	VIPSX	Kenneth Volpert	100,001	500,000
Vanguard Inflation-Protected Securities	VIPSX	John Hollyer	100,001	500,000
Vanguard Insured Long-Term Tax-Exempt Fund	VILPX	John M. Carbone	0	
Vanguard Intermediate-Term Bond Index Fund	VBIIIX	Kenneth Volpert	0	
Vanguard Intermediate-Term Investment-Gr	VFICX	Robert F. Auwaerter	0	
Vanguard Intermediate-Term Investment-Gr	VFICX	Gregory Nassour	0	
Vanguard Intermediate-Term Tax-Exempt Fund	WWITX	Reid Smith	0	
Vanguard Intermediate-Term Treasury	VFITX	David R. Glocke	0	
Vanguard International Explorer Fund	VINEX	Matthew Dobbs	0	
Vanguard International Growth Fund	VWIGX	Virginie Maisoneuve	50,001	100,000
Vanguard International Growth Fund	VWIGX	Matthew Dobbs	0	
Vanguard International Growth Fund	VWIGX	James Anderson	0	
Vanguard International Value Fund	VTRIX	Ronald Holt	0	
Vanguard International Value Fund	VTRIX	Aureole Foong	0	
Vanguard International Value Fund	VTRIX	Henry D'Auria	0	
Vanguard International Value Fund	VTRIX	Kevin F. Simms	0	
Vanguard International Value Fund	VTRIX	Michael Powers	0	
Vanguard International Value Fund	VTRIX	Gabrielle Boyle	0	
Vanguard International Value Fund	VTRIX	Sandy Nairn	0	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Vanguard International Value Fund	VTRIX	Christine Montgomery	0	
Vanguard Limited Term Tax Exempt Fund	VMLTX	Marlin G. Brown	0	
Vanguard Long Term Investment Grade Fund	VWESX	Earl E. McEvoy	0	
Vanguard Long Term Investment Grade Fund	VWESX	Lucius T. Hill, III	0	
Vanguard Long Term Tax Exempt Fund	VWLTX	Reid Smith	0	
Vanguard Long Term U.S. Treasury Fund	VUSTX	David R. Glocke	0	
Vanguard Mid Cap Growth	VMGRX	Edward Antoian	0	
Vanguard Mid Cap Growth	VMGRX	Mark Cunneen	100,001	500,000
Vanguard Mid Cap Growth	VMGRX	Robert Lanphier IV	0	
Vanguard Mid Cap Growth	VMGRX	Harvey Bundy	0	
Vanguard Mid Cap Growth	VMGRX	David Ricci	0	
Vanguard Mid Capitalization Index Fund	VMCIX	Donald Butler	0	
Vanguard Morgan Growth Fund	VMRGX	John S. Cone	0	
Vanguard Morgan Growth Fund	VMRGX	Kathleen A. McCarragher	0	
Vanguard Morgan Growth Fund	VMRGX	Paul E. Marrkand	> 1 million	
Vanguard Morgan Growth Fund	VMRGX	Oliver Buckley	0	
Vanguard Morgan Growth Fund	VMRGX	James Stetler	0	
Vanguard Precious Metals and Mining Fund	VGPMX	Graham E. French	0	
Vanguard Precious Metals and Mining Fund	VGPMX	Matthew Vaight	0	
Vanguard PrimeCap Core Fund	VPCCX	Howard B. Schow	> 1 million	
Vanguard PrimeCap Core Fund	VPCCX	Theo A. Kolokotronis	> 1 million	
Vanguard PrimeCap Core Fund	VPCCX	Joel P. Fried	> 1 million	
Vanguard PrimeCap Core Fund	VPCCX	Mitchell Miliias	> 1 million	
Vanguard PrimeCap Core Fund	VPCCX	Alfred Mordecai	500,001	1 million
Vanguard PrimeCap Core Fund	VPCCX	David Van Slooten	100,001	500,000
Vanguard PrimeCap Fund	VPMCX	Howard B. Schow	> 1 million	
Vanguard PrimeCap Fund	VPMCX	Theo A. Kolokotronis	> 1 million	
Vanguard PrimeCap Fund	VPMCX	Joel P. Fried	> 1 million	
Vanguard PrimeCap Fund	VPMCX	Mitchell Miliias	> 1 million	
Vanguard PrimeCap Fund	VPMCX	Alfred Mordecai	500,001	1 million
Vanguard PrimeCap Fund	VPMCX	David Van Slooten	100,001	500,000
Vanguard REIT Index Fund	VGSIX	Gerard O'Reilly	0	
Vanguard Selected Value Fund	VASVX	James P. Barrow	> 1 million	
Vanguard Selected Value Fund	VASVX	Mark Giambrone	100,001	500,000
Vanguard Selected Value Fund	VASVX	Donald Smith	0	
Vanguard Selected Value Fund	VASVX	Richard Greenberg	0	
Vanguard Short Term Bond Index Fund	VBISX	Gregory Davis	0	
Vanguard Short Term Federal Fund	VGBX	Ronald Reardon	0	
Vanguard Short Term Tax Exempt Fund	VWSTX	Pam Wisehaupt-Tynan	50,001	100,000
Vanguard Short Term Treasury Fund	VFISX	David R. Glocke	0	
Vanguard Short-Term Investment-Grade	VFSTX	Robert F. Auwaerter	100,001	500,000
Vanguard Short-Term Investment-Grade	VFSTX	Gregory Nassour	0	
Vanguard Small Capitalization Growth IND	VISGX	Gerard O'Reilly	0	
Vanguard Small Capitalization Index Fund	NAESX	Michael H. Buek	0	
Vanguard Small Capitalization Value Index	VISVX	Michael H. Buek	0	
Vanguard Strategic Equity Fund	VSEQX	James Troyer	0	
Vanguard Target Retirement	VTTVX	Duane Kelly	0	
Vanguard Tax Managed Balanced Fund	VTMFY	Michael Perre	0	
Vanguard Tax Managed International Fund	VTMGX	Duane Kelly	0	
Vanguard Tax Managed Small Cap Fund	VTMSX	Michael H. Buek	0	

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
Vanguard Tax Managed Capital Appreciation	VMCAX	Michael H. Buek	100,001	500,000
Vanguard Tax Managed Growth and Income	VTGIX	Michael Perre	0	
Vanguard Total Bond Market Index Fund	VBMFX	Kenneth Volpert	10,001	50,000
Vanguard Total Stock Market Index Fund	VTSMX	Gerard O'Reilly	100,001	500,000
Vanguard U.S. Growth Portfolio	VWUSX	Alan Levi	100,001	500,000
Vanguard U.S. Growth Portfolio	VWUSX	John Jostrand	100,001	500,000
Vanguard U.S. Value Fund	VUVLX	James Stetler	0	
Vanguard U.S. Value Fund	VUVLX	William E. Ricks	0	
Vanguard Value Index Fund	VIVAX	Gerard O'Reilly	0	
Vanguard Wellesley Income Fund	VWINX	John R. Ryan	> 1 million	
Vanguard Wellington	VWELX	John C. Keogh	> 1 million	
Vanguard Wellington	VWELX	Edward P. Bousa	> 1 million	
Vanguard Windsor Fund	VWNDX	Marilyn G. Fedak	0	
Vanguard Windsor Fund	VWNDX	David Fassnacht	500,001	1 million
Vanguard Windsor Fund	VWNDX	John Mahedy	0	
Vanguard Windsor II Fund	VWNFX	James P. Barrow	> 1 million	
Vanguard Windsor II Fund	VWNFX	George Davis	0	
Vanguard Windsor II Fund	VWNFX	Sheldon J. Lieberman	0	
Vanguard Windsor II Fund	VWNFX	Andrew Lacey	0	
Vanguard Windsor II Fund	VWNFX	Jeffrey Shaw	0	
Vanguard Windsor II Fund	VWNFX	Christopher Blake	0	
Vanguard Windsor II Fund	VWNFX	James Troyer	0	
Wasatch Core Growth Fund	WGROX	J.B. Taylor	100,001	500,000
Wasatch Core Growth Fund	WGROX	Paul Lambert	50,001	100,000
Wasatch Heritage Growth Fund	WAHGX	Ryan Snow	100,001	500,000
Wasatch Heritage Growth Fund	WAHGX	Christopher Bowen	50,001	100,000
Wasatch Micro Cap Fund	WMICX	Daniel Chace	50,001	100,000
Wasatch Small Cap Growth Fund	WAAEX	Jeff Cardon	> 1 million	
Wasatch Small Cap Value Fund	WMCVX	Jim Larkins	500,001	1 million
Wasatch Ultra Growth Fund	WAMCX	Ajay Krishnan	100,001	500,000
Wasatch Ultra Growth Fund	WAMCX	Neal Dihora	50,001	100,000
Weitz Partners Value Fund	WPVLX	Wallace R. Weitz	> 1 million	
Weitz Partners Value Fund	WPVLX	Bradley Hinton	100,001	500,000
Weitz Series Fund Hickory Portfolio	WEHIX	Wallace R. Weitz	> 1 million	
Weitz Series Fund Value Portfolio	WVALX	Wallace R. Weitz	> 1 million	
Weitz Series Fund Value Portfolio	WVALX	Bradley Hinton	100,001	500,000
Wells Fargo Advantage Opportunity Fund	SOPFX	Ann M. Miletti	> 1 million	
Wells Fargo Advantage S/T Municipal Bond	STSMX	Lyle J. Fitterer	0	
Wells Fargo Advantage S/T Municipal Bond	STSMX	Wendy Casetta	0	
Westcore Trust Midco Growth Fund	WTMGX	William Chester	500,001	1 million
Westcore Trust Midco Growth Fund	WTMGX	Jeffrey S. Payne	100,001	500,000
Westcore Trust Midco Growth Fund	WTMGX	F. Wiley Reed	100,001	500,000
Western Asset Core Bond Portfolio	WATFX	Stephen A. Walsh	0	
Western Asset Core Bond Portfolio	WATFX	Carl Eichstaedt	0	
Western Asset Core Bond Portfolio	WATFX	Mark Lindbloom	0	
Western Asset Core Bond Portfolio	WATFX	S. Kenneth Leech	0	
Western Asset Core Bond Portfolio	WATFX	Edward Moody	0	
Westport Fund	WPRFX	Edmund H. Nicklin Jr.	> 1 million	
Westport Select Cap Fund	WPSRX	Andrew J. Knuth	100,001	500,000
Westport Select Cap Fund	WPSRX	Edmund H. Nicklin Jr.	100,001	500,000

Fund Name	Ticker	Manager	Ownership Range (\$)	
			From	To
WHG Large Cap Value Fund	WHGLX	Susan M. Byrne	100,001	500,000
WHG Large Cap Value Fund	WHGLX	Christopher MacDonald	50,001	100,000
WHG Large Cap Value Fund	WHGLX	Kellie R. Stark	100,001	500,000
WHG Large Cap Value Fund	WHGLX	Mark Freeman	50,001	100,000
WHG Large Cap Value Fund	WHGLX	Scott Lawson	0	